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Section
PATENT

Case Docket No. UOFMD.007A

Date: February 25, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Galen
App. No. : 09/993,292
Filed : November 23, 2001
For : USE OF CLY A HEMOLYSIN
FOR EXCRETION OF
PROTEINS
Group Art Unit : 1645

) I hereby certify that this correspondence and all marked
) attachments are being deposited with the United States
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) to: United States Patent and Trademark Office, P.O. Box
) 2327, Arlington, VA 22202, on

February 25, 2002

(Date)

James J. Mullen III, Ph.D., Reg. No. 44,957

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on December 26, 2001, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) Sequence Submission Statement; Sequence Listing in 14 pages; a disk with the Sequence Listing in Computer Readable Form.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

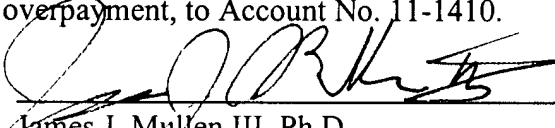
PATENT

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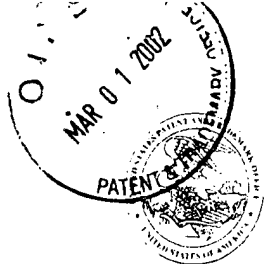
FILING FEE		\$ 740
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	-0- months	\$ -0-
SURCHARGE 37 CFR 1.16(e)		\$ + 130
TOTAL OF ABOVE CALCULATIONS		\$ -0-
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 435		
TOTAL FEES SUBMITTED HERewith		\$ 435

- (X) A check in the amount of \$435 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.


James J. Mullen III, Ph.D.

Registration No. 44,957

Attorney of Record



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,292	11/23/2001	James E. Galen	UOFMD.007A

20995
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SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

3

CONFIRMATION NO. 5386

FORMALITIES LETTER



OC000000007231255

Date Mailed: 12/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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patin21help@uspto.gov or patin3help@uspto.gov

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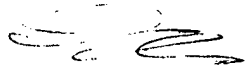
01 FC:201

370.00 OP

02 FC:205

65.00 OP

A copy of this notice MUST be returned with the reply.



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE